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AGENDA

Committee AUDIT COMMITTEE

Date and Time of Meeting TUESDAY, 25 JUNE 2019, 2.00 PM

Venue COMMITTEE ROOM 4 - COUNTY HALL

Membership Ian Arundale (Chair)
Gavin McArthur, David Price, D. Hugh Thomas

Councillors Bale, Cunah, Goddard, Howells, Lister, Singh and Williams

*Time
approx.*

1 **Apologies for Absence**

To receive apologies for absence.

2 **Declarations of Interest**

To be made at the start of the agenda item in question, in accordance with the Members' Code of Conduct.

3 **Appointment of Chairperson and Deputy**

To appoint a Chairperson and Deputy Chairperson for the Audit Committee for the Municipal Year 2019/20.

4 **Membership and Terms of Reference (Pages 5 - 8)**

Membership

To note that Council on 23 May 2019 appointed the following Members to this Committee:

Independent Members: Ian Arundale, Hugh Thomas, David Price, Gavin McArthur

Councillors: Phil Bale, Stephen Cunah, Susan Goddard, Nigel Howells, Ashley Lister, Kanaya Singh, Joel Williams

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Terms of Reference – attached

5 Minutes (*Pages 9 - 20*)

To approve as a correct record the minutes of the meeting held on 02 April 2019.

6 Operational Matters 2.10 pm

6.1 Neil Hanratty, Director Economic Development Update on Internal Control Environment (*Pages 21 - 44*)

7 Finance 2.30 pm

7.1 Financial Update including Resilience Issues - Verbal Update

7.2 Draft Statement of Accounts 2018-19 (*Pages 45 - 222*)

8 Governance and Risk Management 3.00 pm

8.1 Annual Governance Statement (AGS) and Senior Management Assurance Statement 2018-19 (*Pages 223 - 260*)

8.2 Audit Committee Annual Report 2018-19 (*Pages 261 - 294*)

8.3 Corporate Risk Management (Year-End) (*Pages 295 - 328*)

9 Wales Audit Office 3.30 pm

9.1 WAO Activity/Report Progress Update (*Pages 329 - 336*)

10 WAO Tracker and Other Studies 3.35 pm

11 Treasury Management 3.40 pm

11.1 Performance Report (*Pages 337 - 342*)

12 Internal Audit 3.45 pm

12.1 Internal Audit Strategy and Progress Report (*Pages 343 - 406*)

12.2 Investigation Team Progress Update & Draft Counter-Fraud and Corruption Strategy (*Pages 407 - 442*)

12.3 Internal Audit Annual Report 2018/19 (*Pages 443 - 482*)

12.4 Investigation Team Annual Report 2018/19 (*Pages 483 - 510*)

13 Scrutiny Correspondence 4.00 pm

14 Outstanding Actions (*Pages 511 - 512*)

This document is available in Welsh / Mae'r ddogfen hon ar gael yn Gymraeg

15 Work Programme Update (*Pages 513 - 514*)

16 Urgent Items (if any)

17 Date of next meeting

The next meeting will be on 10 September 2019.

Davina Fiore

Director Governance & Legal Services

Date: Wednesday, 19 June 2019

Contact: Graham Porter, 02920 873401, g.porter@cardiff.gov.uk